3



APPL NO.

## United States Patent and Trademark Office

FIL FEE REC'D

770

ART UNIT

2184

MS/JMO/LCM

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450

www.uspto.gov		
ATTY.DOCKET NO	DRAWINGS TOT CLMS IND CLMS	

3382-66136

**CONFIRMATION NO. 1875** 

20

26119 KLARQUIST SPARKMAN LLP 121 S.W. SALMON STREET

10/713.867 11/14/2003

**FILING OR 371** 

(c) DATE

SUITE 1600 - PORTLAND, OR 97204 PREVIOUSLY DOCKETED

FILING RECEIPT

\*OC00000011880413\*

Date Mailed: 02/12/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- William Hunter Hudson, Kirkland, WA; Reiner Fink, Merey WA; Mercey 151and. WA
- ✓ Geoff Pease, Bothell, WA;
- ✓ Gerald Maffeo, Woodinville, WA;
- ∠Yi Meng, Bellevue, WA;
- ∠ Eric LeVine, Seattle, WA;
- ✓ Andrew L. Bliss, Redmond, WA;
- ✓ Andre Vachon, Redmond, WA;
- ✓ Kshitiz K. Sharma, Bellevue, WA;
- ✓ Jing Shan, Boston, MA;

## **Assignment For Published Patent Application**

✓ Microsoft Corporation;

Domestic Priority data as claimed by applicant

**Foreign Applications** 

If Required, Foreign Filing License Granted: 02/11/2004

**Projected Publication Date: 05/19/2005** 

Non-Publication Request: No



Early Publication Request: No

Title

✓ Automatic root cause analysis and diagnostics engine

**Preliminary Class** 

714

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hudson et al.

Application No. 10/713,867 Filed: November 14, 2003

Confirmation No. 1875

For: AUTOMATIC ROOT CAUSE ANALYSIS

AND DIAGNOSTIC ENGINE

Examiner: To be assigned

Art Unit: 2184

Attorney Reference No. 3382-66136

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: OFFICE OF INITIAL PATENT EXAMINATION CUSTOMER SERVICE CENTER, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney for Applicant(s

Date Mailed

February 27, 2004

## REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

OFFICE OF INITIAL PATENT EXAMINATION CUSTOMER SERVICE CENTER COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Applicants have received the official Filing Receipt for the application referenced above, a copy of which (with requested correction shown in red ink) is attached as Exhibit A.

The following error(s) appears on the Filing Receipt:

ITEM IN ERROR	CORRECT INFORMATION
Reiner Fink, Merev, WA;	Reiner Fink, Mercer Island, WA;

This request is being submitted within ten days of receipt of the official Filing Receipt by the undersigned attorney.

Applicants request that the identified error(s) be corrected and that a new official Filing Receipt be issued.

Please return the enclosed postcard to confirm that the items listed above have been received.

Please call the undersigned if any further information is required.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

Ву

Stephen A. Wight

Registration No. 37,759

One World Trade Center, Suite 1600

121 S.W. Salmon Street

Portland, Oregon 97204

Telephone: (503) 226-7391 Facsimile: (503) 228-9446

cc: Docketing